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SIFCO INDUSTRIES INC

Form 3 July 01, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Knapper Peter William

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

06/29/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SIFCO INDUSTRIES INC [SIF]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

6. Individual or Joint/Group

Filed(Month/Day/Year)

5924 MEDALLION DRIVE

(Street)

(Check all applicable)

10% Owner Other

Filing(Check Applicable Line) _X_ Form filed by One Reporting (give title below) (specify below)

Person

WESTERVILLE. OHÂ 43082

(State)

(Zip)

Form filed by More than One President and CEO Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

X Director

X Officer

Direct (D) or Indirect (I)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02) Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise Price of Derivative

Security

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date

Title

Amount or

Security: Direct (D) or Indirect (I)

(Instr. 5)

(Instr. 4)

Expiration Exercisable Date

Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Knapper Peter William 5924 MEDALLION DRIVE WESTERVILLE, OH 43082	ÂX	Â	President and CEO	Â
Signatures				
Elizabeth Button by Dower of				

Elizabeth Button by Power of Attorney

07/01/2016

**Signature of Reporting Person

Date

Explanation of Responses:

No securities are beneficially owned

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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