

Edgar Filing: TRIARC COMPANIES INC - Form 5

TRIARC COMPANIES INC

Form 5

February 13, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

- () Form 3 Holdings Reported
- () Form 4 Transactions Reported

1. Name and Address of Reporting Person

Rosen, Stuart I.
 Triarc Companies, Inc.
 280 Park Avenue, 41st Floor
 New York, NY 10017
 USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.
 TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December 30, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)

Senior Vice President and Associate General Counsel, and Secretary

7. Individual or Joint/Group Reporting (Check Applicable Line)

- (X) Form filed by One Reporting Person
- () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---|---|
| | | | A/ D | Price |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount | 6. Date Exercisable and Expiration Date Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities Title and Number of Shares | 8. Purchase Price of Underlying Securities |
|---------------------------------|--|---------------------|---------|--|--|--|--|
| Employee Stock Options | \$24.60 | 12/6/01 | (A) | 20,000 | 12/6/01 | Class A Comm | 20,000 |

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| | | | | | | | | | | | | |
|--|--|----|--|--|--|--|--|----|--|----------|--|--|
| right to buy) with tandem tax withholding rights | | 01 | | | | | | 11 | | on Stock | | |
|--|--|----|--|--|--|--|--|----|--|----------|--|--|

Explanation of Responses:

(1) The option vests in three equal installments on December 6, 2002, 2003 and 2004.

SIGNATURE OF REPORTING PERSON

STUART I. ROSEN

DATE

February 12, 2002