

DEERE & CO  
Form 3  
November 02, 2016

**FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |   |  |
|---|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol   |  |
| Â Howze Marc A                            |         | (Month/Day/Year)                     | DEERE & CO [DE]   |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer  | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| ONE JOHN DEERE PLACE                      |         |                                      | (Check all applicable)  |  |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below)<br>Sr. VP & Chief Admin Offcr |  |
| MOLINE,Â ILÂ 61265                        |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)  |  |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| \$1 Par Common Stock            | 1,306 <sup>(1)</sup>                                  | D  | Â   |
| \$1 Par Common Stock            | 4,926.725 <sup>(2)</sup>                              | I  | By 401(k) Plan  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|---|---|---|
|--|--|---|---|---|---|

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|  | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Security  | Direct (D) or Indirect (I) (Instr. 5) |   |
|--|------------------|-----------------|--------------|----------------------------|-----------|---------------------------------------|---|
| Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u> | 12/05/2008       | 12/05/2017      | Common Stock | 6,624                      | \$ 88.82  | D                                     | Â |
| Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u> | 12/08/2011       | 12/08/2020      | Common Stock | 7,731                      | \$ 80.61  | D                                     | Â |
| Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u> | 12/14/2012       | 12/14/2021      | Common Stock | 7,996                      | \$ 74.24  | D                                     | Â |
| Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u> | 12/12/2013       | 12/12/2022      | Common Stock | 8,343                      | \$ 86.36  | D                                     | Â |
| Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u> | 12/11/2014       | 12/11/2023      | Common Stock | 12,124                     | \$ 87.46  | D                                     | Â |
| Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u> | 12/10/2015       | 12/10/2024      | Common Stock | 14,619                     | \$ 88.185 | D                                     | Â |
| Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u> | 12/09/2016       | 12/09/2025      | Common Stock | 8,585                      | \$ 79.24  | D                                     | Â |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships |           |                              |       |
|--|---------------|-----------|------------------------------|-------|
|  | Director      | 10% Owner | Officer                      | Other |
| Howze Marc A<br>ONE JOHN DEERE PLACE<br>MOLINE, IL 61265 | Â             | Â         | Â Sr. VP & Chief Admin Offer | Â     |

## Signatures

/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney

11/02/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,306 restricted stock units granted under the John Deere Omnibus Equity and Incentive Plan and to be settled solely in shares.
- (2) Represents units in the issuer stock fund of the Deere & Company 401(k) Savings and Investment Plan. The information is based on a plan statement dated as of September 30, 2016 when the per unit value was \$124.02.
- (3) All options include the ability to withhold shares upon the exercise of the option to satisfy minimum required income tax obligations.
- (4) The options become exercisable in three approximately equal annual installments one, two and three years after grant. The date listed in the initial exercisable installment date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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