#### Edgar Filing: MOTTERN CHRISTOPHER P - Form 4

#### MOTTERN CHRISTOPHER P

Form 4

December 12, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 33

Washington, D.C. 20549
Check this box

Number: 3235-0287

Synings: January 31,

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Expires: 2005
Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response... 0.5

may continue. *See* Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** MOTTERN CHRISTOPHER P |                                      |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>FARMER BROTHERS CO [FARM] |   |                     |                    |             | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |   |  |
|--|--------------------------------------|--|--|---|---------------------|--------------------|-------------|--|--|---|--|
|  | (First) BROS. CO., 1912 BROTHERS DR  |  | 3. Date of (Month/D) 12/08/20  | •                                       |                     |                    |             | X Director<br>Officer (gi  | % Owner her (specify   |   |  |
|  | (Street) 4. If Amer                  |  |  | endment, Date Original<br>nth/Day/Year) |                     |                    |             | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |  |
| (City)   | (State)                              | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |                     |                    |             |  |  | ally Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)                             | 2. Transaction Da<br>(Month/Day/Year | e) Execution any   | emed<br>on Date, if<br>/Day/Year)  | 3.<br>Transactic<br>Code<br>(Instr. 8)  | Disposed (Instr. 3, | l (A) c<br>l of (D | <b>)</b> )  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)       |  |
| Common<br>Stock,<br>\$1.00 par<br>value                          | 12/08/2017                           |  |  | A                                       | 1,901               | A                  | \$ 0<br>(1) | 6,478  | D  |   |  |
| Common<br>Stock,<br>\$1.00 par<br>value                          |                                      |  |  |   |                     |                    |             | 12,000   | I  | By self as<br>co-trustee<br>for Mottern<br>Family<br>Trust. |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc   | cisable and | 7. Title  | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|-----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration Date |             | Amour     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)       | Underl    | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |             | Securit   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                 |             | (Instr. : | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                 |             |           |          |             | Follo  |
|             | •           |                     |                    |            | (A) or     |                 |             |           |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                 |             |           |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                 |             |           |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                 |             |           |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |                 |             |           |          |             |        |
|             |             |                     |                    |            |            |                 |             |           | A        |             |        |
|             |             |                     |                    |            |            |                 |             |           | Amount   |             |        |
|             |             |                     |                    |            |            | Date            | Expiration  |           | or       |             |        |
|             |             |                     |                    |            |            | Exercisable     | Date        |           |          |             |        |
|             |             |                     |                    | G 1 W      | (A) (D)    |                 |             |           | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                 |             |           | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

MOTTERN CHRISTOPHER P FARMER BROS. CO. 1912 FARMER BROTHERS DRIVE NORTHLAKE, TX 76262

X

#### **Signatures**

/s/ Thomas J. Mattei, Jr., Attorney-In-Fact for Christopher P. Mottern

12/12/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Grant of restricted stock under the Farmer Bros. Co. 2017 Long-Term Incentive Plan ("Plan"), granted on December 8, 2017 ("Grant Date"); the restricted stock cliff vests on the earlier of (i) the one year anniversary of the Grant Date or (ii) the date of the first annual meeting of the Company's stockholders immediately following the Grant Date (as applicable, the "Vesting Date"), subject to continued service to the Company through the Vesting Date and the acceleration provisions of the Plan and restricted stock grant agreement.

#### **Remarks:**

Ex 24 Power of Attorney attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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