

Edgar Filing: LEADVILLE MINING & MILLING CORP - Form SC 13G

LEADVILLE MINING & MILLING CORP

Form SC 13G

February 13, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No.) *

LEADVILLE MINING & MILLING CORP.
(Name of Issuer)

Common Stock
(Title of Class of Securities)

521738 20 3
(CUSIP Number)

Calendar year end: December 31, 2000
(Date of Event Which Requires Filing of this Statement)

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 521738 20 3

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1. NAME OF REPORTING PERSONS S. S. or I.R.S. IDENTIFICATION NO. OF ABOVE
PERSONS (ENTITIES ONLY)

Roger A. Newell

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP*

(a) ☐
(b) ☐

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3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

United States

NUMBER OF 5. SOLE VOTING POWER

SHARES 800,000

BENEFICIALLY 6. SHARED VOTING POWER

OWNED BY 0

EACH 7. SOLE DISPOSITIVE POWER

REPORTING 0

PERSON 8. SHARED DISPOSITIVE POWER

WITH 0

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

800,000

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

NO

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

3%

12. TYPE OF REPORTING PERSON*

IN

*SEE INSTRUCTIONS BEFORE FILLING OUT!

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Item 1(a). Name of Issuer:

Leadville Mining & Milling Corp.

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Item 1(b). Address of Issuer's Principal Executive Offices:

76 Beaver Street, New York, New York 10005

Item 2(a). Name of Person Filing:

Roger A. Newell

Item 2(b). Address of Principal Business Office, or if None, Residence:

76 Beaver Street, New York, New York 10005

Item 2(c). Citizenship:

United States

Item 2(d). Title of Class of Securities:

Common Stock

Item 2(e). CUSIP Number:

521738 20 3

If this statement is filed pursuant to rule 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- ☐ Broker or dealer registered under Section 15 of the Act.
- ☐ Bank as defined in Section 3(a)(6) of the Act.
- ☐ Insurance company as defined in Section 3(a)(19) of the Act.
- ☐ Investment company registered under Section 8 of the Investment Company Act.
- ☐ An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- ☐ An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- ☐ A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
- ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;

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☐ A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act;

☐ Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

Item 4. Ownership.

(a) Amount beneficially owned: 800,000. This amount includes -0- shares held by Mr. _____ Wife and 800,000 are issuable upon exercise of options and/or warrants.

(b) Percent of class: 3%

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote 800,000

(ii) Shared power to vote or to direct the vote -0-

(iii) Sole power to dispose or to direct the disposition of 800,000

(iv) Shared power to dispose or to direct the disposition of -0-

Item 5. Ownership of Five Percent or Less of a Class.

Not Applicable.

Item 6. Ownership of More Than Five Percent on Behalf of Another Person.

Not Applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company.

Not Applicable.

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Item 8. Identification and Classification of Members of the Group.

Not Applicable.

Item 9. Notice of Dissolution of Group.

Not Applicable.

Item 10. Certifications.

Not Applicable.

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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 12, 2001

/s/ Roger A. Newell

Signature

Roger A. Newell, V.P. - Development, Director

(Name/Title)