

MASUCCI ROBERT N

Form 4

August 20, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MASUCCI ROBERT N

(Last) (First) (Middle)

C/O INTRICON
CORPORATION, 1260 RED FOX
ROAD

(Street)

ARDEN HILLS, MN 55112

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
INTRICON CORP [IIN]

3. Date of Earliest Transaction
(Month/Day/Year)
08/16/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/16/2018		M	(A) or (D) 3,333 (1) A \$ 8.8	65,631	D	
Common Stock	08/16/2018		F	501 (1) D \$ 58.55	65,130	D	
Common Stock	08/16/2018		M	10,000 (3) A \$ 7.96	75,130	D	
Common Stock	08/16/2018		F	1,360 (3) D \$ 58.55	73,770	D	
Common Stock	08/16/2018		M	10,000 (4) A \$ 6.96	83,770	D	

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Common Stock	08/16/2018	F	<u>1,189</u> (4)	D	\$ 58.55	82,581	D
Common Stock	08/16/2018	M	<u>10,000</u> (5)	A	\$ 6.82	92,581	D
Common Stock	08/16/2018	F	<u>1,165</u> (5)	D	\$ 58.55	91,416	D
Common Stock	08/16/2018	M	<u>6,667</u> (6)	A	\$ 5.85	98,003	D
Common Stock	08/16/2018	F	667 (6)	D	\$ 58.55	97,416	D
Common Stock	08/16/2018	M	<u>10,000</u> (7)	A	\$ 4.53	107,416	D
Common Stock	08/16/2018	F	774 (7)	D	\$ 58.55	106,642	D
Common Stock	08/16/2018	M	<u>10,000</u> (8)	A	\$ 4.1	116,642	D
Common Stock	08/16/2018	F	701 (8)	D	\$ 58.55	115,941	D
Common Stock	08/16/2018	M	<u>3,987</u> (9)	A	\$ 4	119,928	D
Common Stock	08/16/2018	F	273 (9)	D	\$ 58.55	119,655	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Option to Purchase	\$ 8.8	08/16/2018		M	3,333	(2) 04/27/2027	Common Stock 3,333

- Common Stock									
Option to Purchase									
- Common Stock	\$ 7.96	08/16/2018	M	10,000	<u>(2)</u>	04/24/2025	Common Stock	10,000	
Option to Purchase									
- Common Stock	\$ 6.96	08/16/2018	M	10,000	<u>(2)</u>	05/01/2022	Common Stock	10,000	
Option to Purchase									
- Common Stock	\$ 6.82	08/16/2018	M	10,000	<u>(2)</u>	04/23/2024	Common Stock	10,000	
Option to Purchase									
- Common Stock	\$ 5.85	08/16/2018	M	6,667	<u>(2)</u>	04/28/2026	Common Stock	6,667	
Option to Purchase									
- Common Stock	\$ 4.53	08/16/2018	M	10,000	<u>(2)</u>	04/27/2021	Common Stock	10,000	
Option to Purchase									
- Common Stock	\$ 4.1	08/16/2018	M	10,000	<u>(2)</u>	04/24/2023	Common Stock	10,000	
Option to Purchase									
- Common Stock	\$ 4	08/16/2018	M	3,987	<u>(2)</u>	04/21/2020	Common Stock	3,987	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MASUCCI ROBERT N C/O INTRICON CORPORATION	X			

1260 RED FOX ROAD
ARDEN HILLS, MN 55112

Signatures

/s/ Scott Longval,
attorney-in-fact

08/20/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) "Cashless Exercise" Resulting in Net Acquisition of 2,832 Share.
- (2) The option is Fully Vested and May BE Exercised Immediately.
- (3) "Cashless Exercise" Resulting in Net Acquisition of 8,640 Share.
- (4) "Cashless Exercise" Resulting in Net Acquisition of 8,811 Share.
- (5) "Cashless Exercise" Resulting in Net Acquisition of 8,835 Share.
- (6) "Cashless Exercise" Resulting in Net Acquisition of 6,000 Share.
- (7) "Cashless Exercise" Resulting in Net Acquisition of 9,226 Share.
- (8) "Cashless Exercise" Resulting in Net Acquisition of 9,299 Share.
- (9) "Cashless Exercise" Resulting in Net Acquisition of 3,714 Share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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