

Zemba Douglas D.
Form 3
July 23, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Zemba Douglas D.

(Last)

(First)

(Middle)

127 INDUSTRY BOULEVARD

(Street)

NORTH

HUNTINGDON,Â PAÂ 15642

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

07/12/2018

3. Issuer Name **and** Ticker or Trading Symbol
ExOne Co [XONE]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner

X Officer ___ Other
(give title below) (specify below)

CFO & Treasurer

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
 X Form filed by One Reporting
Person
___ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

8,834

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date
Exercisable

Expiration
Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

Edgar Filing: Zemba Douglas D. - Form 3

				Shares		(I) (Instr. 5)	
Stock Option (right to buy)	02/10/2017	02/10/2027	Common Stock	12,000	\$ 10.1	D	Â
Stock Option (right to buy)	Â (1)	08/14/2022	Common Stock	25,000	\$ 7.91	D	Â
Stock Option (right to buy)	Â (2)	02/07/2023	Common Stock	6,667	\$ 18	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zemba Douglas D. 127 INDUSTRY BOULEVARD NORTH HUNTINGDON,Â PAÂ 15642	Â	Â	Â CFO & Treasurer	Â

Signatures

/s/ Loretta L. Benec, attorney-in-fact for Douglas D. Zemba 07/23/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The original option grant for 25,000 shares vested with respect to 12,500 shares on August 14, 2017 and will vest with respect to the remaining 12,500 shares on August 14, 2018.
- (2) The original option grant for 10,000 shares vested with respect to 3,333 shares on February 7, 2014, an additional 3,333 shares on February 7, 2015 and the remaining 3,334 shares on February 7, 2016.

Â

Remarks:

SeeÂ attachedÂ ExhibitÂ 24Â (PowerÂ ofÂ Attorney).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.