

El-Hibri Fuad
Form 4/A
February 20, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
El-Hibri Fuad

(Last) (First) (Middle)

400 PROFESSIONAL DRIVE,
SUITE 400

(Street)

GAITHERSBURG, MD 20879

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction
(Month/Day/Year)
11/19/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)
11/21/2018

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/19/2018		M ⁽¹⁾⁽²⁾	V Amount (A) or (D) Price 20,000 A \$ 14.44	1,508,675	D	
Common Stock	11/20/2018		M ⁽¹⁾⁽²⁾	15,173 A \$ 14.44	1,503,848 ⁽³⁾	D	
Common Stock	11/26/2018		M ⁽¹⁾⁽⁴⁾	25,000 A \$ 13.38	1,513,675 ⁽³⁾	D	
Common Stock	11/27/2018		M ⁽¹⁾⁽⁴⁾	20,832 A \$ 13.38	1,509,507 ⁽³⁾	D	
Common Stock	11/27/2018		S ⁽¹⁾	20,832 D \$ 69.59 ⁽⁵⁾	1,488,675	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 14.44	11/19/2018		M ⁽¹⁾⁽²⁾			20,000	⁽⁶⁾	03/12/2019	Common Stock	20,000
Employee Stock Options (Right to Buy)	\$ 14.44	11/20/2018		M ⁽¹⁾⁽²⁾			15,173	⁽⁶⁾	03/12/2019	Common Stock	15,173
Employee Stock Options (Right to Buy)	\$ 13.38	11/26/2018		M ⁽¹⁾⁽⁴⁾			25,000	⁽⁷⁾	03/11/2020	Common Stock	25,000
Employee Stock Options (Right to Buy)	\$ 13.38	11/27/2018		M ⁽¹⁾⁽⁴⁾			20,832	⁽⁷⁾	03/11/2020	Common Stock	20,832

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
El-Hibri Fuad 400 PROFESSIONAL DRIVE, SUITE 400	X	X	Chairman	

GAITHERSBURG, MD 20879

Signatures

/s/ Carl A. Valenstein,
attorney-in-fact

02/19/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) All transactions listed on this Form 4 were made by the Reporting Person pursuant to a trading plan adopted on June 6, 2018 that is intended to comply with Rule 10b5-1(c) under the Securities Exchange Act of 1934, as amended.

- (2) The reported option exercises were inadvertently omitted from the original Form 4 filed with the Securities and Exchange Commission ("SEC") on November 21, 2018, which reported the sales of shares of the Issuer's common stock ("Common Stock") only, rather than the exercises of the options and subsequent sales of the shares of Common Stock acquired upon such exercises.

- (3) The number of shares of Common Stock directly beneficially owned by the Reporting Person reported on this amended Form 4 gives effect to the sales of Common Stock by the Reporting Person as reported on the Forms 4 filed with the SEC on November 21, 2018 and November 28, 2018, as applicable.

- (4) The reported option exercises were inadvertently omitted from the original Form 4 filed with the SEC on November 28, 2018, which reported the sales of shares of Common Stock only, rather than the exercises of the options and subsequent sales of the shares of Common Stock acquired upon such exercises.

- (5) The price reported reflects a weighted average price. These shares were sold in multiple transactions at prices ranging from \$69.31 to \$70.32.

- (6) The options vested in three equal installments on March 12, 2013, March 12, 2014 and March 12, 2015.

- (7) The options vested in three equal installments on March 11, 2014, March 11, 2015 and March 11, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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