

WEIL JOHN D

Form 4

November 14, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
WEIL JOHN D

2. Issuer Name **and** Ticker or Trading
Symbol
ALLIED HEALTHCARE
PRODUCTS INC [AHPH]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

200 N BROADWAY SUITE 825

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/09/2017

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

ST LOUIS, MO 63102

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	53,577 ⁽¹⁾	D	
Common Stock				(A) or (D)	2,500 ⁽²⁾	I	IRA
Common Stock				(A) or (D)	94,427 ⁽³⁾	I ⁽³⁾	Spouse ⁽⁸⁾
Common Stock				(A) or (D)	59,101 ⁽⁴⁾	I	Trust ⁽⁹⁾
Common Stock				(A) or (D)	7,927 ⁽⁵⁾	I	Corporation ⁽¹¹⁾

Edgar Filing: WEIL JOHN D - Form 4

Common Stock	119,792 ⁽⁶⁾	I	Trust ⁽¹²⁾
Common Stock	495,039 ⁽⁷⁾	I	Limited Partnership ⁽¹⁰⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (right to buy)	\$ 4.05							11/13/2009 ⁽¹³⁾	11/13/2018	Common Stock	1,500
Option (right to buy)	\$ 5.04							11/13/2010 ⁽¹³⁾	11/13/2019	Common Stock	1,500
Option (right to buy)	\$ 4.34							11/11/2011 ⁽¹³⁾	11/11/2020	Common Stock	1,500
Option (right to buy)	\$ 3.555							11/10/2012 ⁽¹³⁾	11/10/2021	Common Stock	1,500
Option (right to buy)	\$ 2.59							11/08/2013 ⁽¹³⁾	11/08/2022	Common Stock	1,500
Option (right to buy)	\$ 2.31							11/14/2014 ⁽¹³⁾	11/14/2023	Common Stock	1,500

Option (right to buy)	\$ 1.58					11/13/2015 ⁽¹³⁾	11/13/2024	Common Stock	1,500
Option (right to buy)	\$ 1.17					11/12/2016 ⁽¹³⁾	11/12/2025	Common Stock	1,500
Option (right to buy)	\$ 1.13					11/10/2017 ⁽¹³⁾	11/10/2026	Common Stock	1,500
Option (right to buy)	\$ 2.22	11/09/2017		A	750	11/09/2018	11/09/2027	Common Stock	750

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WEIL JOHN D 200 N BROADWAY SUITE 825 ST LOUIS, MO 63102	X	X		

Signatures

John D. Weil 11/14/2017

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On December 7, 2016, the issuer effected a 1-for-2 reverse stock split resulting in the reporting person's ownership of 53,577 fewer shares.
 - (2) On December 7, 2016, the issuer effected a 1-for-2 reverse stock split resulting in the reporting person's ownership of 2,500 fewer shares.
 - (3) On December 7, 2016, the issuer effected a 1-for-2 reverse stock split resulting in the reporting person's ownership of 94,428 fewer shares.
 - (4) On December 7, 2016, the issuer effected a 1-for-2 reverse stock split resulting in the reporting person's ownership of 59,102 fewer shares.
 - (5) On December 7, 2016, the issuer effected a 1-for-2 reverse stock split resulting in the reporting person's ownership of 7,926 fewer shares.
 - (6) On December 7, 2016, the issuer effected a 1-for-2 reverse stock split resulting in the reporting person's ownership of 119,795 fewer shares.
 - (7) On December 7, 2016, the issuer effected a 1-for-2 reverse stock split resulting in the reporting person's ownership of 495,040 fewer shares.
 - (8) The reporting person disclaims any economic benefit in such shares.
 - (9) Owned by a trust for the benefit of the reporting person and for which the reporting person acts as co-trustee.

Edgar Filing: WEIL JOHN D - Form 4

- Owned by a family limited partnership of which the reporting person acts as one of several general partners. Number of shares reported
- (10) includes all shares held by limited partnership. The reporting person disclaims beneficial ownership of shares held by the limited partnership in excess of the reporting person's proportionate interest as determined pursuant to Rule 16a-1(2)(ii)(B).
- (11) Owned by a corporation controlled by the reporting person.
- (12) Owned by trusts for which the reporting person acts as co-trustee and with respect to which the reporting person disclaims any economic benefit in such shares.
- (13) Options may not be exercised for a period of one year from the date of the grant and thereafter are exercisable in full.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.