

Tobia Alfred Victor Jr.
Form 4
December 06, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Tobia Alfred Victor Jr.

2. Issuer Name **and** Ticker or Trading
Symbol
ACACIA RESEARCH CORP
[ACTG]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
767 3RD AVENUE, 15TH FLOOR
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/19/2018

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

NEW YORK, NY 10017

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/19/2018		P	20,000 A \$ 3.014	221,664	I	By Sidus Investment Management, LLC ⁽¹⁾
Common Stock	11/20/2018		P	7,700 A \$ 2.9709	229,364	I	By Sidus Investment Management, LLC ⁽¹⁾
Common Stock	11/21/2018		P	1,499 A \$ 3.0057	230,863	I	By Sidus Investment Management, LLC ⁽¹⁾

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Common Stock	11/30/2018	P	3,000	A	\$ 3.1287	233,863	I	By Sidus Investment Management, LLC ⁽¹⁾
Common Stock	12/03/2018	P	2,500	A	\$ 3.098	236,363	I	By Sidus Investment Management, LLC ⁽¹⁾
Common Stock						177,957	I	By Sidus Investment Partners, L.P. ⁽²⁾
Common Stock	11/20/2018	P	8,500	A	\$ 2.9709	495,735	I	By Sidus Double Alpha Fund, L.P. ⁽³⁾
Common Stock	11/21/2018	P	3,000	A	\$ 3.0057	498,735	I	By Sidus Double Alpha Fund, L.P. ⁽³⁾
Common Stock	11/30/2018	P	4,300	A	\$ 3.1287	503,035	I	By Sidus Double Alpha Fund, L.P. ⁽³⁾
Common Stock	12/03/2018	P	5,300	A	\$ 3.098	508,335	I	By Sidus Double Alpha Fund, L.P. ⁽³⁾
Common Stock	11/20/2018	P	3,800	A	\$ 2.9709	226,944	I	By Sidus Double Alpha Fund, Ltd. ⁽⁴⁾
Common Stock	11/21/2018	P	847	A	\$ 3.0057	227,791	I	By Sidus Double Alpha Fund, Ltd. ⁽⁴⁾
Common Stock	11/30/2018	P	2,700	A	\$ 3.1287	230,491	I	By Sidus Double Alpha Fund, Ltd. ⁽⁴⁾
Common Stock	12/03/2018	P	2,200	A	\$ 3.098	232,691	I	By Sidus Double Alpha Fund, Ltd. ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Tobia Alfred Victor Jr. 767 3RD AVENUE 15TH FLOOR NEW YORK, NY 10017	X

Signatures

Alfred Victor
Tobia Jr. 12/06/2018

**Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Shares of common stock held in an account for which Sidus Investment Management, LLC ("Sidus Management") serves as a sub-advisor (the "Managed Account"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the sub-advisor to the Managed Account, may be deemed to beneficially own the shares of common stock directly held in the Managed Account for purposes of Section 16 of the Securities Exchange Act of 1934, as amended ("Section 16"). The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- (1) Shares of common stock owned directly by Sidus Investment Partners, L.P. ("Sidus Partners"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Partners, may be deemed to beneficially own the shares of common stock directly held by Sidus Partners for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- (2) Shares of common stock owned directly by Sidus Double Alpha Fund, L.P. ("Sidus Double Alpha"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Double Alpha, may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- (3) Shares of common stock owned directly by Sidus Double Alpha Fund, Ltd. ("Sidus Double Alpha Offshore"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Double Alpha Offshore, may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha Offshore for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- (4)

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interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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