

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

May 02, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Gavell, Stefan M  
 State Street Corporation  
 225 Franklin Street  
 Boston, MA 02110
2. Issuer Name and Ticker or Trading Symbol  
 State Street Corporation  
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Day/Year  
 5/1/03
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Executive Vice President and Treasurer
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans-<br>action<br>Date | 2A. Exec-<br>ution<br>Date | 3. Trans-<br>action<br>Code | 4. Securities Acquired (A)<br>or Disposed of (D)<br>Amount | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported Trans(s) |
|----------------------|-----------------------------|----------------------------|-----------------------------|--|--|
| Common Stock         | 5/1/03                      |                            | S                           | 953<br>D 35.21   | 11,359   |
|                      |                             |                            |                             |  | 4,509(1)   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of<br>Derivative<br>Security | 2. Con-<br>version<br>Price of<br>Deriva-<br>tive<br>Secu-<br>rity | 3. Trans-<br>action<br>(Month/<br>Day/<br>Year) | 3A. Deemed<br>Execu-<br>tion<br>(Month/<br>Day/<br>Year) | 4. Trans-<br>action<br>Code | 5. Number of De<br>rivative Secu<br>rities Acqui<br>red(A) or Dis<br>posed of(D)<br>Amount | 6. Date Exer<br>cisable and<br>Expiration<br>Date (Month/<br>Day/Year)<br>Date Expir<br>ation<br>Date | 7. Title and Amount<br>of Underlying<br>Securities<br>Title and Number<br>of Shares | 8. P<br>of<br>vat<br>Sec<br>rit |
|---------------------------------------|--|---|--|-----------------------------|--|---|---|---------------------------------|
|---------------------------------------|--|---|--|-----------------------------|--|---|---|---------------------------------|

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|                       |          |  |  |  |  |           |          |              |        |
|-----------------------|----------|--|--|--|--|-----------|----------|--------------|--------|
| Employee Stock        | 26.21875 |  |  |  |  | 6/19/98-  | 6/18/07  | Common Stock | 3,000  |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
|                       |          |  |  |  |  | 6/19/00   |          |              |        |
| Employee Stock        | 34.15625 |  |  |  |  | 6/18/99-  | 6/17/08  | Common Stock | 3,000  |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
|                       |          |  |  |  |  | 6/18/01   |          |              |        |
| Employee Stock        | 40.51565 |  |  |  |  | 6/17/00-  | 6/16/09  | Common Stock | 7,406  |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
|                       |          |  |  |  |  | 6/17/02   |          |              |        |
| Employee Stock        | 39.25    |  |  |  |  | 12/16/00- | 2/16/10  | Common Stock | 25,000 |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
|                       |          |  |  |  |  | 12/16/02  |          |              |        |
| Employee Stock        | 60.7375  |  |  |  |  | 12/21/01- | 12/20/10 | Common Stock | 15,600 |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
|                       |          |  |  |  |  | 12/21/03  |          |              |        |
| Employee Stock        | 51.975   |  |  |  |  | 12/20/02- | 12/19/11 | Common Stock | 25,800 |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
|                       |          |  |  |  |  | 12/20/04  |          |              |        |
| Employee Stock        | 49.705   |  |  |  |  | 2/21/04   | 2/20/12  | Common Stock | 13,500 |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
| Employee Stock        | 40.22    |  |  |  |  | 12/19/04- | 12/18/12 | Common Stock | 23,300 |
| Option (right to buy) |          |  |  |  |  |           |          |              |        |
|                       |          |  |  |  |  | 12/19/06  |          |              |        |

Explanation of Responses:

(1) As of last plan statement. The plan accounts for interests in units of shares and a small amount of cash. As a result the number of underlying shares may fluctuate from time to time.

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SIGNATURE OF REPORTING PERSON

Stefan M Gavell

/s/Stefan M. Gavell

DATE

5/2/03