Edgar Filing: PINNACLE WEST CAPITAL CORP - Form 4

PINNACLE WEST CAPITAL CORP

Form 4 May 10, 2001

| | | | | OMB APPROVAL | | | | |
|-----------|--|--------------------------------|------------------------------|--|--|--|--|--|
| FORM 4 | | | | OMR APPROVAL | | | | |
| [] |] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | | OMB Number 3235-028 Expires: December 31, 2003 Estimated average burden hours per response | | | | |
| | | | SECURITIES . | AND EXCHANGE COMMI | ISSION | | | |
| | _ | o Section 16(lic Utility H | a) of the Se olding Compa | _ | SHIP Act of 1934, Section Section 30(f) of the | | | |
| 1. | Name and Add | ress of Repor | ting Person* | | | | | |
| | Loftin | Nancy | С. | | | | | |
| | (Last) | (First) | (Middle) | | | | | |
| | 400 North Fi | fth Street | | | | | | |
| | (Street) | | | | | | | |
| | Phoenix | Arizona | 85004 | | | | | |
| | (City | (State) | (Zip) | | | | | |
| 2. | Issuer Name | and Ticker or | Trading Sym | ====================================== | | | | |
| | Pinnacle Wes | t Capital Cor | poration (PN | W) | | | | |
| 3. | IRS Or Socia Number of Re Person (Volu | porting | | | | | | |
| === 4. | Statement fo | ======== r Month/Year | ======= | ========== | | | | |
| | April 2001 | | | | | | | |
| 5. | If Amendment | , Date of Ori | ======= ginal (Month | /Year) | | | | |
| 6. | [] Director | (give title b | | o Issuer (Check a [] 10% Owner [] Other (speci | | | | |
| 7. | | r Joint/Group ed by One Rep | - | ====================================== | ====================================== | | | |

Edgar Filing: PINNACLE WEST CAPITAL CORP - Form 4

[] Form filed by More than One Reporting Person

Form 4 (continued)

| | INON-DERIV | | | | | | | • | CIALLY ===== | OWNED |
|--|--|--|---|--------------------------------|--|---|--|--|---|---|
| 1.Title of Security (Instr. 3) | action Date (Month/ | 3.Trans- action Code (Instr. 8) | | or Disp | oosed of | s Acquired (A) ed of (D) , 4 and 5) | | Securitie Beneficia Owned at | s lly | 6.Owner ship Form: |
| | Day/ Year) | Code | v | Amount | | or Pr | ice | End of Mo: (Instr. 3 | | (D) o Indir (Inst |
| Common Stock | 4-17-01 | М | | 1,944 | А | \$19 | .56 | | | |
| Common Stock | 4-17-01 | F | | 3 , 056 | D | \$49 | .70 | | | |
| Common Stock | 4-17-01 | S | | 1,944 | D | \$49 | .70 | | | |
| Common Stock | 4-17-01 | М | | 2,200 | А | \$15 | .75 | | | |
| Common Stock | 4-17-01 | F | | 2,800 | D | \$49 | .70 | | | |
| Common Stock | 4-17-01 | S | | 2,200 | D | \$49 | .70 | 19,782 | | D |
| Common Stock | | | | | | | | 1 , 925 | | I |
| | outs, calls, | warrant: | s, op | tions, co | nvertib | le se | curit ===== | ies) | | ===== |
| (e.g., p | outs, calls, e 2. Conver | warrant: - 3. 1 r 8 | | tions, co | | le se ===== 5. | curit ===== Numbe Secu (A) (| | ===== tive red | |
| (e.g., r ==================================== | couts, calls, | warrant: - 3. 'r se of tive | s, op ===== Trans actio Date | - 4. Tr n ti Cc h/ (I | onvertib ====== cansac- lon ode | le se ===== 5. : | curit ===== Numbe Secu (A) (| cies) er of Derivarities Acqui or Disposed | ===== tive red | ====== =============================== |
| (e.g., p | e 2. Conver sion o Exerci Price Deriva | warrant: - 3. ' r se l of tive l ty | s, op ===== Trans actio Date (Mont Day/ | tions, co | onvertib e ransac- lon ode Instr. 8 | le se 5. : | curit ===== Numbe Secu (A) (| er of Derivarities Acquirer Disposed | ===== tive red 4, and | |
| (e.g., r 1. Title of Derivative | e 2. Conver sion o Exerci Price Deriva Securi | warrant: - 3. 'r se l of tive l ty | s, op ===== Trans actio Date (Mont Day/ Year) 4-17- | tions, co | onvertib | le se ===== 5. : | curit | er of Derivarities Acquir Disposed D) (Instr. 3, | ===== tive red 4, and (D) | |

Edgar Filing: PINNACLE WEST CAPITAL CORP - Form 4

| (1 | 1) | 12-07-02 | Common Stock | 5,000 | 0 | D |
|----|--------|----------|-----------------|-------|---|---|
| (2 | 2) | 12-03-01 | Common Stock | 5,000 | 0 | D |
| | | | | | | |

Explanation of Responses:

- (1) The option became exercisable 1/3 of the grant per year commencing 12-07-93.
- (2) The option became exercisable 1/3 of the grant per year commencing 12-03-92.

| Nancy C. Loftin | 5-10-01 |
|---------------------------------|---------|
| | |
| **Signature of Reporting Person | Date |
| Nancy C. Loftin | |

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.